# Categorical Abstract Algebraic Logic: Pragmatic Matrix System Semantics

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#### Abstract

Taking after work of Tokarz, pragmatic matrix systems are introduced to provide a semantics for logics formalized as  $\pi$ -institutions. Unlike referential semantics which can only be associated with selfextensional  $\pi$ -institutions, but similarly with the case of pseudo-referential semantics, it is shown that every  $\pi$ -institution can be endowed with a pragmatic matrix system semantics. Self-extensional  $\pi$ -institutions are characterized as exactly those that possess a pragmatic matrix system semantics with respect to which the underlying algebraic system of the  $\pi$ -institution satisfies a specific property called extensionality.

## 1 Introduction

The area of *referential semantics* deals with the general problem of defining the primitive notion of **truth** of a sentence in some formal language at a "world". To assign a meaning to a given sentence one may use one of the following two methods, among others:

(a) A map from the set of possible worlds W to  $\{0,1\}$  which takes the value 1 for exactly those worlds in W in which the sentence is true.

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(b) A map from the set of possible worlds W into itself that maps a certain world a to a world b exactly in case the sentence describes in world a the world b.

The first approach is the one adopted in the study of referential semantics for sentential logics by Wójcicki in [7, 8] and of pseudo-referential semantics for sentential logics by Malinowski [4] and by Marek [5]. Similarly, it was the approach taken in studying referential and pseudo-referential semantics for  $\pi$ -institutions in [10] and [12], respectively. In the present work, we follow the second approach, adopted by Tokarz [6] that led to the introduction of the so called *pragmatic matrix semantics* for sentential logics.

Consider a **language type**  $\mathcal{L} = \langle \Lambda, \rho \rangle$ , where  $\Lambda$  is a set of logical connectives/operation symbols and  $\rho : \Lambda \to \omega$  is a function assigning to each operation symbol its arity. Let V be a countable set of variables. Denote by  $\mathbf{Fm}_{\mathcal{L}}(V) = \langle \mathrm{Fm}_{\mathcal{L}}(V), \mathcal{L} \rangle$  the free  $\mathcal{L}$ -algebra generated by V. A **logic**  $S = \langle \mathcal{L}, \vdash_S \rangle$  consists of a language type together with a structural consequence relation on  $\mathrm{Fm}_{\mathcal{L}}(V)$ . As is well-known, structural consequence relations are in one-to-one correspondence with structural closure operators (see, e.g., page 33 of [2]). Thus, a logic may be equivalently represented as a pair  $S = \langle \mathcal{L}, C \rangle$ , where C is a structural closure operator on  $\mathrm{Fm}_{\mathcal{L}}(V)$ .

A generalized matrix, or gmatrix, for  $\mathcal{L}$  is a pair  $\mathbb{A} = \langle \mathbf{A}, \mathcal{D} \rangle$ , where  $\mathbf{A} = \langle A, \mathcal{L}^{\mathbf{A}} \rangle$  is an  $\mathcal{L}$ -algebra and  $\mathcal{D}$  is a family of subsets of A.

A gmatrix  $\mathbb{A} = \langle \mathbf{A}, \mathcal{D} \rangle$  determines a logic  $\mathcal{S}^{\mathbb{A}} = \langle \mathcal{L}, C^{\mathbb{A}} \rangle$ , defined, for all  $\Phi \cup \{\varphi\} \subseteq \operatorname{Fm}_{\mathcal{L}}(V)$ , by

$$\varphi \in C^{\mathbb{A}}(\Phi)$$
 iff for all  $h \in \operatorname{Hom}(\operatorname{Fm}_{\mathcal{L}}(V), \mathbf{A})$  and all  $D \in \mathcal{D}$ ,  
 $h(\Phi) \subseteq D$  implies  $h(\varphi) \in D$ .

Given a class K of gmatrices for  $\mathcal{L}$ , the logic **determined by** K is defined by  $\mathcal{S}^{\mathsf{K}} = \langle \mathcal{L}, C^{\mathsf{K}} \rangle$ , where  $C^{\mathsf{K}} = \bigcap_{\mathbb{A} \in \mathsf{K}} C^{\mathbb{A}}$ .

A class of gmatrices for  $\mathcal{L}$  is said to form a **gmatrix semantics** for a logic  $\mathcal{S} = \langle \mathcal{L}, C \rangle$  if  $C^{\mathsf{K}} = C$ .

A referential algebra for  $\mathcal{L}$  is an  $\mathcal{L}$ -algebra  $\mathbf{R} = \langle R, \mathcal{L}^{\mathbf{R}} \rangle$  such that R consists of a collection of subsets of a set U of base or reference points. For all  $a \in U$ , set  $D_a = \{X \in R : a \in X\}$  and  $\mathcal{D} = \{D_a : a \in U\}$ . Then the gmatrix  $\mathbb{R} = \langle \mathbf{R}, \mathcal{D} \rangle$  for  $\mathcal{L}$  is called a referential gmatrix for  $\mathcal{L}$  over U.

A logic  $S = \langle \mathcal{L}, C \rangle$  is self-extensional if for all  $\alpha, \beta \in \operatorname{Fm}_{\mathcal{L}}(V)$ ,

$$\begin{split} C(\alpha) = C(\beta) \quad \text{implies} \quad C(\varphi(\alpha, \overline{z})) = C(\varphi(\beta, \overline{z})), \\ \text{for all } \varphi(x, \overline{z}) \in \operatorname{Fm}_{\mathcal{L}}(V). \end{split}$$

A fundamental result due to Wójcicki [7] (see, also, [9]) asserts that a logic  $\mathcal{S} = \langle \mathcal{L}, C \rangle$  is self-extensional if and only if it has a referential semantics, i.e., if and only if  $C = C^{\mathbb{R}}$ , for some referential gmatrix  $\mathbb{R}$ . Thus, non-self-extensional logics do not possess a referential gmatrix semantics.

Malinowski defined in [4] pseudo-referential gmatrices. The concept is a generalization of referential gmatrices and it is obtained by considering, in addition to the set U of reference points, a distinguished collection  $U^* \subseteq \mathcal{P}(U)$  of subsets of the set of reference points. We define, for all  $V \in U^*$ , the set

$$D_V = \{ X \in R : X \cap V \neq \emptyset \}$$

and set  $\mathcal{D} = \{D_V : V \in U^*\}$ . A matrix of the form  $\mathbb{R} = \langle \mathbf{R}, \mathcal{D} \rangle$  is called a **pseudo-referential gmatrix** for  $\mathcal{L}$ . Note that, by taking  $U^* = \{\{u\} : u \in U\}$  one obtains referential gmatrices as a special case. Malinowski shows in the Theorem of [4] that every logic - not just self-extensional ones - has a pseudo-referential gmatrix semantics. This work of Malinowski initiated an effort to provide a semantics along the lines of referential semantics to a class of sentential logics wider than the class of self-extensional ones.

In [6] Tokarz, switching from the first to the second approach outlined at the beginning of this Introduction, devised pragmatic gmatrices as an alternative to Malinowksi's pseudo-referential gmatrices. Besides following a different philosophical paradigm, pragmatic gmatrices have the advantage of being more intuitive than pseudo-referential ones.

The main idea is to replace the requirement that the underlying universe of the algebra be a subset of  $\mathcal{P}(U)$ , i.e., of  $2^U$ , as in the case of referential algebras, by that of being a subset of  $U^U$ , i.e., a collection P of functions from the set of base points to itself. Now to define the filter family of the gmatrix that serves as the model of the sentential logic one needs a distinguished set of base points  $T \subseteq U$  whose elements are termed **facts**. We define, for all  $u \in U$ 

$$D_u = \{ p \in P : p(u) \in T \}$$

and we set  $\mathcal{D} = \{D_u : u \in U\}$ . A **pragmatic gmatrix system** for  $\mathcal{L}$  is one of the form  $\mathbb{P} = \langle \mathbf{P}, \mathcal{D} \rangle$ , where  $\mathbf{P} = \langle P, \mathcal{L}^{\mathbf{P}} \rangle$  is an  $\mathcal{L}$ -algebra. Tokarz shows in Theorem 1 of [6] that every logic has a pragmatic gmatrix semantics. Tokarz then defines the notions of extensional and strongly extensional languages with respect to a pragmatic gmatrix  $\mathbb{P}$  and shows that they characterize selfextensional logics. Thus, if one restricts to languages that are extensional or strongly extensional in this sense, one captures exactly the logics for which the referential gmatrices of Wójcicki form a suitable semantics. The author has studied in a series of papers referentiality and selfextensionality and has established results paralleling those of Wójcicki for logical systems formalized as  $\pi$ -institutions (see, e.g., [10, 11]). Moreover, in [12], paralleling the work of Malinowksi, pseudo-referential gmatrix system semantics was introduced for  $\pi$ -institutions and it was shown that every  $\pi$ -institution possesses a pseudo-referential gmatrix semantics.

In this work, pragmatic gmatrix system semantics is introduced for  $\pi$ institutions motivated by the same goal that led Tokarz to the introduction of the pragmatic gmatrix semantics for sentential logics, i.e., to provide an alternative, based on a different paradigm, to pseudo-referential matrix system semantics that would be applicable to a wider class of  $\pi$ -institutions than just the class of self-extensional ones. We, in fact, show in Theorem 1 that every  $\pi$ -institution has a pragmatic gmatrix system semantics. Moreover, by introducing the notion of a base algebraic system that is extensional with respect to a pragmatic gmatrix system, we show in Corollary 4 that self-extensional  $\pi$ -institutions, which are  $\pi$ -institutions having a referential gmatrix system semantics, are captured exactly by those that are based on extensional algebraic systems with respect to some pragmatic gmatrix system.

## 2 Preliminaries

Let Sign be a category and SEN : Sign  $\rightarrow$  Set a Set-valued functor. The clone of all natural transformations on SEN is the category U with collection of objects SEN<sup> $\alpha$ </sup>,  $\alpha$  an ordinal, and collection of morphisms  $\tau$  : SEN<sup> $\alpha$ </sup>  $\rightarrow$  SEN<sup> $\beta$ </sup>  $\beta$ -sequences of natural transformations  $\tau_i$  : SEN<sup> $\alpha$ </sup>  $\rightarrow$  SEN. Composition of  $\langle \tau_i : i < \beta \rangle$  : SEN<sup> $\alpha$ </sup>  $\rightarrow$  SEN<sup> $\beta$ </sup> with  $\langle \sigma_j : j < \gamma \rangle$  : SEN<sup> $\beta$ </sup>  $\rightarrow$  SEN<sup> $\gamma$ </sup>

$$\operatorname{SEN}^{\alpha} \xrightarrow{(\tau_i : i < \beta)} \operatorname{SEN}^{\beta} \xrightarrow{(\sigma_j : j < \gamma)} \operatorname{SEN}^{\gamma}$$

is defined by

$$\langle \sigma_j : j < \gamma \rangle \circ \langle \tau_i : i < \beta \rangle = \langle \sigma_j (\langle \tau_i : i < \beta \rangle) : j < \gamma \rangle.$$

A subcategory of this category with all objects of the form  $\mathrm{SEN}^k,\ k<\omega,$  and such that:

• it contains all projection morphisms  $p^{k,i} : \text{SEN}^k \to \text{SEN}, \ i < k, \ k < \omega$ , with  $p_{\Sigma}^{k,i} : \text{SEN}(\Sigma)^k \to \text{SEN}$  given by

$$p_{\Sigma}^{k,i}(\overline{\phi}) = \phi_i, \text{ for all } \overline{\phi} \in \text{SEN}(\Sigma)^k$$

• for every family  $\{\tau_i : \text{SEN}^k \to \text{SEN} : i < \ell\}$  of natural transformations in N,  $\langle \tau_i : i < \ell \rangle : \text{SEN}^k \to \text{SEN}^\ell$  is also in N,

is referred to as a **category of natural transformations on** SEN (see, e.g., Section 2 of [10]).

An algebraic system is a triple  $\mathbf{A} = \langle \mathbf{Sign}, \mathbf{SEN}, N \rangle$  consisting of:

- A category **Sign** of **signatures**;
- A functor SEN : Sign → Set giving for each signature Σ ∈ |Sign|, the set SEN(Σ) of Σ-sentences;
- A category of natural transformations N on SEN.

Usually, in a specific context, a fixed underlying algebraic system is assumed, called the **base algebraic system** and denoted by  $\mathbf{A}^{\flat} = \langle \mathbf{Sign}^{\flat}, \mathrm{SEN}^{\flat}, N^{\flat} \rangle$ . Then, an  $N^{\flat}$ -algebraic system  $\mathbf{A} = \langle \mathbf{Sign}, \mathrm{SEN}, N \rangle$  is one such that there exists a surjective functor  $N^{\flat} \rightarrow N$  that preserves all projection natural transformations (and, consequently, all arities of natural transformations involved).

An interpreted  $N^{\flat}$ -algebraic system is a pair  $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle \rangle$ , such that **A** is an  $N^{\flat}$ -algebraic system and  $\langle F, \alpha \rangle : \mathbf{A}^{\flat} \to \mathbf{A}$  is an algebraic system morphism. In other words:

- $F: \mathbf{Sign}^{\flat} \to \mathbf{Sign}$  is a functor;
- $\alpha : \operatorname{SEN}^{\flat} \to \operatorname{SEN} \circ F$  is a natural transformation, such that, for all  $\sigma^{\flat} : (\operatorname{SEN}^{\flat})^k \to \operatorname{SEN}^{\flat}$ , all  $\Sigma \in |\operatorname{Sign}|$  and all  $\varphi_0, \ldots, \varphi_{k-1} \in \operatorname{SEN}^{\flat}(\Sigma)$ ,



$$\alpha_{\Sigma}(\sigma_{\Sigma}^{\flat}(\varphi_{0},\ldots,\varphi_{k-1}))=\sigma_{F(\Sigma)}(\alpha_{\Sigma}(\varphi_{0}),\ldots,\alpha_{\Sigma}(\varphi_{k-1})),$$

where  $\sigma : \text{SEN}^k \to \text{SEN}$  is the image natural transformation on SEN of  $\sigma^{\flat}$  in  $N^{\flat}$ .

A gmatrix system (for  $\mathbf{A}^{\flat}$ ) is a pair  $\mathbb{A} = \langle \mathcal{A}, \mathcal{D} \rangle$ , where  $\mathcal{A}$  is an interpreted  $N^{\flat}$ -algebraic system and  $\mathcal{D} = \{D^{i} : i \in I\}$  is a collection of filter families on  $\mathbf{A}$ , i.e.,  $D^{i} = \{D_{\Sigma}^{i}\}_{\Sigma \in |\mathbf{Sign}|}$ , such that  $D_{\Sigma}^{i} \subseteq \mathrm{SEN}(\Sigma)$ , for all  $\Sigma \in |\mathbf{Sign}|$  and all  $i \in I$ .

Let  $\mathbf{A}^{\flat} = \langle \mathbf{Sign}^{\flat}, \mathrm{SEN}^{\flat}, N^{\flat} \rangle$  be a base algebraic system. A  $\pi$ -institution based on  $\mathbf{A}^{\flat}$  (see [1] and, also, [3] for the closely related notion of an institution) is a pair  $\mathcal{I} = \langle \mathbf{A}^{\flat}, C \rangle$ , where  $C = \{C_{\Sigma}\}_{\Sigma \in |\mathbf{Sign}|}$  is a closure system on  $\mathbf{A}^{\flat}$ , i.e., a collection of closure operators  $C_{\Sigma} : \mathcal{P}(\mathrm{SEN}^{\flat}(\Sigma)) \to \mathcal{P}(\mathrm{SEN}^{\flat}(\Sigma)),$  $\Sigma \in |\mathbf{Sign}^{\flat}|$ , which satisfies the structurality condition, i.e., for all  $\Sigma, \Sigma' \in |\mathbf{Sign}^{\flat}|, f \in \mathbf{Sign}^{\flat}(\Sigma, \Sigma')$  and  $\Phi \subseteq \mathrm{SEN}^{\flat}(\Sigma)$ ,

$$\operatorname{SEN}^{\flat}(f)(C_{\Sigma}(\Phi)) \subseteq C_{\Sigma'}(\operatorname{SEN}^{\flat}(f)(\Phi)).$$

Let  $\mathbf{A}^{\flat} = \langle \mathbf{Sign}^{\flat}, \mathrm{SEN}^{\flat}, N^{\flat} \rangle$  be a base algebraic system and let  $\mathbb{A} = \langle \mathcal{A}, \mathcal{D} \rangle$ be a gmatrix system for  $\mathbf{A}^{\flat}$ , with  $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle \rangle$  and  $\mathbf{A} = \langle \mathbf{Sign}, \mathrm{SEN}, N \rangle$ . The gmatrix system  $\mathbb{A}$  generates a closure system  $C^{\mathbb{A}}$  on  $\mathbf{A}^{\flat}$  by the following rule: For all  $\Sigma \in |\mathbf{Sign}^{\flat}|$  and all  $\Phi \cup \{\varphi\} \subseteq \mathrm{SEN}^{\flat}(\Sigma)$ ,

$$\varphi \in C_{\Sigma}^{\mathbb{A}}(\Phi) \quad \text{iff} \quad \text{for all } \Sigma' \in |\mathbf{Sign}^{\flat}|, f \in \mathbf{Sign}^{\flat}(\Sigma, \Sigma') \text{ and all } i \in I,$$
$$\alpha_{\Sigma'}(\mathrm{SEN}^{\flat}(f)(\Phi)) \subseteq D^{i}_{F(\Sigma')}$$
$$\text{implies} \quad \alpha_{\Sigma'}(\mathrm{SEN}^{\flat}(f)(\varphi)) \in D^{i}_{F(\Sigma')}.$$

If K is a class of gmatrix systems for  $\mathbf{A}^{\flat}$ , then we set

$$C^{\mathsf{K}} = \bigcap_{\mathbb{A} \in \mathsf{K}} C^{\mathbb{A}},$$

where the intersection is applied signature-wise. The corresponding  $\pi$ institutions are denoted by  $\mathcal{I}^{\mathbb{A}} = \langle \mathbf{A}^{\flat}, C^{\mathbb{A}} \rangle$  and  $\mathcal{I}^{\mathsf{K}} = \langle \mathbf{A}^{\flat}, C^{\mathsf{K}} \rangle$ . Note that
both are based on the base algebraic system  $\mathbf{A}^{\flat}$ .

Let  $\mathbf{A}^{\flat} = \langle \mathbf{Sign}^{\flat}, \mathrm{SEN}^{\flat}, N^{\flat} \rangle$  be a base algebraic system and  $\mathcal{I} = \langle \mathbf{A}^{\flat}, C \rangle$ be a  $\pi$ -institution based on  $\mathbf{A}^{\flat}$ . We say that a class of gmatrix systems K for  $\mathbf{A}^{\flat}$  is a **gmatrix system semantics for**  $\mathcal{I}$  in case  $C^{\mathsf{K}} = C$ .

The remainder of this work will focus on a special kind of gmatrix system semantics for  $\pi$ -institutions, the so-called *pragmatic gmatrix system semantics*, introduced in Section 3.

### 3 Pragmatic Gmatrix Systems

Let  $\mathbf{A}^{\flat} = \langle \mathbf{Sign}^{\flat}, \mathbf{SEN}^{\flat}, N^{\flat} \rangle$  be a base algebraic system.

Consider a category **Sign** and a functor  $PTS : |Sign| \rightarrow Set$  giving, for all  $\Sigma \in |Sign|$ , the set  $PTS(\Sigma)$  of  $\Sigma$ -base or  $\Sigma$ -reference points.

Let, also, FCT :  $|\mathbf{Sign}| \rightarrow \mathbf{Set}$  be such that, for all  $\Sigma \in |\mathbf{Sign}|$ ,

$$FCT(\Sigma) \subseteq PTS(\Sigma).$$

This functor gives, for every  $\Sigma \in |\mathbf{Sign}|$ , the set of  $\Sigma$ -facts. Thus,  $\Sigma$ -facts are also  $\Sigma$ -base points.

A pragmatic  $N^{\flat}$ -algebraic system (based on PTS) is an  $N^{\flat}$ -algebraic system  $\mathbf{P} = \langle \mathbf{Sign}, \mathrm{SEN}, N \rangle$ , where, for all  $\Sigma \in |\mathbf{Sign}|$ ,

$$\operatorname{SEN}(\Sigma) \subseteq \operatorname{PTS}(\Sigma)^{\operatorname{PTS}(\Sigma)},$$

i.e., a subset of the set of all functions from  $PTS(\Sigma)$  to itself.

A pragmatic gmatrix system (based on PTS over FCT) is a gmatrix system  $\mathbb{P} = \langle \mathcal{P}, \mathcal{D} \rangle$ , where  $\mathcal{P} = \langle \mathbf{P}, \langle F, \alpha \rangle \rangle$  is an interpreted pragmatic  $N^{\flat}$ -algebraic system based on PTS and

$$\mathcal{D} = \{ D^{\Sigma, p} : \Sigma \in |\mathbf{Sign}|, p \in \mathrm{PTS}(\Sigma) \},\$$

where

$$D_{\Sigma'}^{\Sigma,p} = \begin{cases} \{k \in \operatorname{SEN}(\Sigma) : k(p) \in \operatorname{FCT}(\Sigma)\}, & \text{if } \Sigma' = \Sigma, \\ \emptyset, & \text{if } \Sigma' \neq \Sigma. \end{cases}$$

The same argument presented by Tokarz in Section II of [6] shows that it is not the case that  $C^{\mathbb{P}}$  is self-extensional, for every pragmatic gmatrix system  $\mathbb{P}$ .

**Theorem 1** Let  $\mathbf{A}^{\flat} = \langle \mathbf{Sign}^{\flat}, \mathrm{SEN}^{\flat}, N^{\flat} \rangle$  be a base algebraic system and  $\mathcal{I} = \langle \mathbf{A}^{\flat}, C \rangle$  a  $\pi$ -institution based on  $\mathbf{A}^{\flat}$ . Then, there exists a pragmatic gmatrix system  $\mathbb{P} = \langle \mathcal{P}, \mathcal{D} \rangle$ , based on PTS over FCT, with  $\mathcal{P} = \langle \mathbf{P}, \langle F, \alpha \rangle \rangle$  and  $\mathbf{P} = \langle \mathbf{Sign}, \mathrm{SEN}, N \rangle$ , such that  $C = C^{\mathbb{P}}$ .

**Proof:** Set **Sign** = **Sign**<sup> $\flat$ </sup>. Define, for all  $\Sigma \in |$ **Sign**|,

$$\mathrm{PTS}(\Sigma) = \mathcal{P}(\mathrm{SEN}^{\flat}(\Sigma)),$$

where, as usual,  $\mathcal{P}$  here denotes the powerset operator. Now, set, for all  $\Sigma \in |\mathbf{Sign}|$ ,

$$\operatorname{FCT}(\Sigma) = {\operatorname{SEN}^{\flat}(\Sigma) - {\varphi} : \varphi \in \operatorname{SEN}^{\flat}(\Sigma)} \subseteq \operatorname{PTS}(\Sigma).$$

Next, for all  $\Sigma \in |\mathbf{Sign}|, \varphi \in \mathrm{SEN}^{\flat}(\Sigma)$ , define a function  $k^{\Sigma,\varphi} : \mathrm{PTS}(\Sigma) \to \mathrm{PTS}(\Sigma)$  by setting, for all  $X \subseteq \mathrm{SEN}^{\flat}(\Sigma)$ ,

$$k^{\Sigma,\varphi}(X) = \begin{cases} \operatorname{SEN}^{\flat}(\Sigma) - \{\varphi\}, & \text{if } \varphi \in C_{\Sigma}(X), \\ \operatorname{SEN}(\Sigma), & \text{otherwise.} \end{cases}$$

**Claim:** For all  $\Sigma \in |\mathbf{Sign}|$ , and all  $\varphi, \psi \in \mathrm{SEN}^{\flat}(\Sigma)$ , if  $\varphi \neq \psi$ , then  $k^{\Sigma,\varphi} \neq k^{\Sigma,\psi}$ . **Proof:** If  $\varphi \neq \psi$ , then

$$k^{\Sigma,\varphi}(\operatorname{SEN}^{\flat}(\Sigma)) = \operatorname{SEN}^{\flat}(\Sigma) - \{\varphi\}, k^{\Sigma,\psi}(\operatorname{SEN}^{\flat}(\Sigma)) = \operatorname{SEN}^{\flat}(\Sigma) - \{\psi\}.$$

Since  $\varphi \neq \psi$ , we clearly have

$$k^{\Sigma,\varphi}(\operatorname{SEN}^{\flat}(\Sigma)) \neq k^{\Sigma,\psi}(\operatorname{SEN}^{\flat}(\Sigma)),$$

whence  $k^{\Sigma,\varphi} \neq k^{\Sigma,\psi}$ .

Now we define the pragmatic  $N^\flat\text{-algebraic}$  system  $\mathbf{P}=\langle \mathbf{Sign}, \mathrm{SEN}, N\rangle$  as follows:

• For all  $\Sigma \in |\mathbf{Sign}|$ ,

$$\operatorname{SEN}(\Sigma) = \{ k^{\Sigma, \varphi} : \varphi \in \operatorname{SEN}^{\flat}(\Sigma) \}.$$

For all  $\Sigma, \Sigma' \in |\mathbf{Sign}|, f \in \mathbf{Sign}(\Sigma, \Sigma'), \mathrm{SEN}(f) : \mathrm{SEN}(\Sigma) \to \mathrm{SEN}(\Sigma')$ is given by setting, for all  $\varphi \in \mathrm{SEN}^{\flat}(\Sigma)$ ,

$$\operatorname{SEN}(f)(k^{\Sigma,\varphi}) = k^{\Sigma',\operatorname{SEN}^{\flat}(f)(\varphi)}.$$

It is clear that SEN : **Sign**  $\rightarrow$  **Set**, thus defined, is a functor, since, for all  $\Sigma, \Sigma', \Sigma'' \in |$ **Sign**| and all  $f \in$  **Sign** $(\Sigma, \Sigma'), g \in$  **Sign** $(\Sigma', \Sigma'')$ , we have, for all  $\varphi \in$  SEN<sup> $\flat$ </sup> $(\Sigma)$ ,

$$\operatorname{SEN}(\Sigma) \xrightarrow{\operatorname{SEN}(f)} \operatorname{SEN}(\Sigma') \xrightarrow{\operatorname{SEN}(g)} \operatorname{SEN}(\Sigma'')$$
$$\operatorname{SEN}(g)(\operatorname{SEN}(f)(k^{\Sigma,\varphi})) = \operatorname{SEN}(g)(k^{\Sigma',\operatorname{SEN}^{\flat}(f)(\varphi)})$$
$$= k^{\Sigma'',\operatorname{SEN}^{\flat}(g)(\operatorname{SEN}^{\flat}(f)(\varphi))}$$
$$= k^{\Sigma'',\operatorname{SEN}^{\flat}(gf)(\varphi)}$$
$$= \operatorname{SEN}(gf)(k^{\Sigma,\varphi}).$$

• For all  $\sigma^{\flat} : (\operatorname{SEN}^{\flat})^k \to \operatorname{SEN}^{\flat}$  in  $N^{\flat}$ , we define  $\sigma : \operatorname{SEN}^k \to \operatorname{SEN}$  by letting, for all  $\Sigma \in |\operatorname{Sign}|, \sigma_{\Sigma} : \operatorname{SEN}(\Sigma)^k \to \operatorname{SEN}(\Sigma)$  be given, for all  $\varphi_0, \ldots, \varphi_{k-1} \in \operatorname{SEN}^{\flat}(\Sigma)$ ,

$$\sigma_{\Sigma}(k^{\Sigma,\varphi_0},\ldots,k^{\Sigma,\varphi_{k-1}})=k^{\Sigma,\sigma_{\Sigma}^{\flat}(\varphi_0,\ldots,\varphi_{k-1})}.$$

 $\sigma : \operatorname{SEN}^k \to \operatorname{SEN}$  is a natural transformation, since, for all  $\Sigma, \Sigma' \in |\operatorname{Sign}|$ , all  $f \in \operatorname{Sign}(\Sigma, \Sigma')$  and all  $\varphi_0, \ldots, \varphi_{k-1} \in \operatorname{SEN}^{\flat}(\Sigma)$ ,



$$\begin{aligned} \sigma_{\Sigma'}(\operatorname{SEN}(f)(k^{\Sigma,\varphi_0}),\ldots,\operatorname{SEN}(f)(k^{\Sigma,\varphi_{k-1}})) &= \sigma_{\Sigma'}(k^{\Sigma',\operatorname{SEN}^{\flat}(f)(\varphi_0)},\ldots,k^{\Sigma',\operatorname{SEN}^{\flat}(f)(\varphi_{k-1})}) \\ &= k^{\Sigma',\sigma_{\Sigma'}^{\flat}(\operatorname{SEN}^{\flat}(f)(\varphi_0),\ldots,\operatorname{SEN}^{\flat}(f)(\varphi_{k-1}))} \\ &= k^{\Sigma',\operatorname{SEN}^{\flat}(f)(\sigma_{\Sigma}^{\flat}(\varphi_0,\ldots,\varphi_{k-1}))} \\ &= \operatorname{SEN}(f)(k^{\Sigma,\sigma_{\Sigma}^{\flat}(\varphi_0,\ldots,\varphi_{k-1})}) \\ &= \operatorname{SEN}(f)(\sigma_{\Sigma}(k^{\Sigma,\varphi_0},\ldots,k^{\Sigma,\varphi_{k-1}})). \end{aligned}$$

Finally, we set N be the category of natural transformations on SEN consisting of all natural transformations of the form  $\sigma$ , for  $\sigma^{\flat}$  in  $N^{\flat}$ .

Now define the algebraic system morphism  $\langle I, \alpha \rangle : \mathbf{A}^{\flat} \to \mathbf{P}$  as follows:

- $I: \mathbf{Sign}^{\flat} \to \mathbf{Sign}$  is the identity functor (recall  $\mathbf{Sign} = \mathbf{Sign}^{\flat}$ );
- $\alpha : \operatorname{SEN}^{\flat} \to \operatorname{SEN}$  is defined by letting, for all  $\Sigma \in |\mathbf{Sign}|$ ,

$$\alpha_{\Sigma} : \operatorname{SEN}^{\flat}(\Sigma) \to \operatorname{SEN}(\Sigma)$$

be given, for all  $\varphi \in \operatorname{SEN}^{\flat}(\Sigma)$ , by

$$\alpha_{\Sigma}(\varphi) = k^{\Sigma,\varphi}.$$

Again this is a bona fide natural transformation since, for all  $\Sigma,\Sigma'\in$ 

|Sign|, all  $f \in \text{Sign}(\Sigma, \Sigma')$  and all  $\varphi \in \text{SEN}^{\flat}(\Sigma)$ ,

$$\begin{array}{c|c} \operatorname{SEN}^{\flat}(\Sigma) & \xrightarrow{\alpha_{\Sigma}} & \operatorname{SEN}(\Sigma) \\ \end{array} \\ \end{array} \\ \begin{array}{c} \operatorname{SEN}^{\flat}(f) \\ \end{array} \\ \end{array} \\ \begin{array}{c} \operatorname{SEN}^{\flat}(\Sigma') & \xrightarrow{\alpha_{\Sigma'}} & \operatorname{SEN}(\Sigma') \\ \end{array} \\ \\ \begin{array}{c} \alpha_{\Sigma'}(\operatorname{SEN}^{\flat}(f)(\varphi)) & = & k^{\Sigma',\operatorname{SEN}^{\flat}(f)(\varphi)} \\ & = & \operatorname{SEN}(f)(k^{\Sigma,\varphi}) \\ & = & \operatorname{SEN}(f)(\alpha_{\Sigma}(\varphi)). \end{array} \end{array}$$

Thus, the pair  $\mathcal{P} = \langle \mathbf{P}, \langle I, \alpha \rangle \rangle$  is an interpreted pragmatic  $N^{\flat}$ -algebraic system based on PTS.

Now, following the standard procedure for a pragmatic gmatrix system, we define, for all  $\Sigma \in |\mathbf{Sign}|$  and all  $X \in \mathrm{PTS}(\Sigma)$ , i.e.,  $X \subseteq \mathrm{SEN}^{\flat}(\Sigma)$ ,

$$D^{\Sigma,X} = \{D_{\Sigma'}^{\Sigma,X}\}_{\Sigma' \in |\mathbf{Sign}|}$$

by setting, for all  $\Sigma' \in |\mathbf{Sign}|$ ,

$$D_{\Sigma'}^{\Sigma,X} = \begin{cases} \{k^{\Sigma,\varphi} \in \operatorname{SEN}(\Sigma) : k^{\Sigma,\varphi}(X) \in \operatorname{FCT}(\Sigma)\}, & \text{if } \Sigma' = \Sigma, \\ \emptyset, & \text{if } \Sigma' \neq \Sigma. \end{cases}$$

and let

$$\mathcal{D} = \{ D^{\Sigma, X} : \Sigma \in |\mathbf{Sign}|, X \subseteq \mathrm{SEN}^{\flat}(\Sigma) \}$$

Then the structure  $\mathbb{P}$  =  $\langle \mathcal{P}, \mathcal{D} \rangle$  is a pragmatic gmatrix system based on PTS over FCT.

Before continuing with the claim that will conclude the proof of the theorem, we note that, for all  $\Sigma \in |\mathbf{Sign}|$  and all  $\varphi \in \mathrm{SEN}^{\flat}(\Sigma)$ , because of the definition of  $k^{\Sigma,\varphi}$  and of  $\mathrm{FCT}(\Sigma)$ , we get

$$D_{\Sigma'}^{\Sigma,X} = \begin{cases} \{k^{\Sigma,\varphi} \in \operatorname{SEN}(\Sigma) : \varphi \in C_{\Sigma}(X)\}, & \text{if } \Sigma' = \Sigma, \\ \emptyset, & \text{if } \Sigma' \neq \Sigma. \end{cases}$$

Claim:  $C = C^{\mathbb{P}}$ .

**Proof:** Suppose, first, that  $\Sigma \in |\mathbf{Sign}|$  and  $\Phi \cup \{\varphi\} \subseteq \mathrm{SEN}^{\flat}(\Sigma)$ , such that  $\varphi \notin C_{\Sigma}(\Phi)$ . Then, for all  $\phi \in \Phi$ ,

$$\alpha_{\Sigma}(\phi)(\Phi) = k^{\Sigma,\phi}(\Phi) = \operatorname{SEN}^{\flat}(\Sigma) - \{\phi\} \in \operatorname{FCT}(\Sigma).$$

Thus, by the definition of  $\mathcal{D}$ ,  $\alpha_{\Sigma}(\phi) \in D_{\Sigma}^{\Sigma,\Phi}$ , for all  $\phi \in \Phi$ . On the other hand,

$$\alpha_{\Sigma}(\varphi)(\Phi) = k^{\Sigma,\varphi}(\Phi) = \operatorname{SEN}^{\flat}(\Sigma) \notin \operatorname{FCT}(\Sigma).$$

So  $\alpha_{\Sigma}(\varphi) \notin D_{\Sigma}^{\Sigma,\Phi}$ . It follows that  $\varphi \notin C_{\Sigma}^{\mathbb{P}}(\Phi)$ .

Suppose, conversely, that  $\Sigma \in |\mathbf{Sign}|$  and  $\Phi \cup \{\varphi\} \subseteq \mathrm{SEN}^{\flat}(\Sigma)$ , such that  $\varphi \in C_{\Sigma}(\Phi)$ . Consider  $\Sigma' \in |\mathbf{Sign}|, f \in \mathbf{Sign}(\Sigma, \Sigma')$ , such that, for all  $\phi \in \Phi$ ,

$$\alpha_{\Sigma'}(\operatorname{SEN}^{\flat}(f)(\phi)) \in D_{\Sigma'}^{\Sigma',X'},$$

for some  $X' \subseteq \text{SEN}^{\flat}(\Sigma')$ . Then, for all  $\phi \in \Phi$ ,  $k^{\Sigma', \text{SEN}^{\flat}(f)(\phi)} \in D_{\Sigma'}^{\Sigma', X'}$ . This implies that  $\text{SEN}^{\flat}(f)(\phi) \in C_{\Sigma'}(X')$ , for all  $\phi \in \Phi$ . Since, by hypothesis,  $\varphi \in C_{\Sigma}(\Phi)$ , we get, by structurality,

$$\operatorname{SEN}^{\flat}(f)(\varphi) \in C_{\Sigma'}(\operatorname{SEN}^{\flat}(f)(\Phi)) \subseteq C_{\Sigma'}(X').$$

This shows that  $k^{\Sigma', \text{SEN}^{\flat}(f)(\varphi)}(X') \in D_{\Sigma'}^{\Sigma', X'}$ , or, equivalently, that

$$\alpha_{\Sigma'}(\operatorname{SEN}^{\flat}(f)(\varphi)) \in D_{\Sigma'}^{\Sigma',X'}$$

Hence, we conclude that  $\varphi \in C_{\Sigma}^{\mathbb{P}}(\Phi)$ .

By the claim, we conclude that every  $\pi$ -institution  $\mathcal{I}$  has a pragmatic gmatrix system semantics, namely one consisting of the single pragmatic gmatrix system constructed in this proof, which may be called the **canonical pragmatic gmatrix system associated with** the  $\pi$ -institution  $\mathcal{I}$ .

In Section 4, we characterize those  $\pi$ -institutions that are self-extensional based on the type of the available pragmatic gmatrix system semantics for them.

#### 4 Extensionality

Let  $\mathbf{A}^{\flat} = \langle \mathbf{Sign}^{\flat}, \mathrm{SEN}^{\flat}, N^{\flat} \rangle$  be a base algebraic system.

Let  $\mathbb{P} = \langle \mathcal{P}, \mathcal{D} \rangle$  be a pragmatic gmatrix system based on PTS over FCT, with  $\mathcal{P} = \langle \mathbf{P}, \langle F, \alpha \rangle \rangle$  and  $\mathbf{P} = \langle \mathbf{Sign}, \mathrm{SEN}, N \rangle$ .

Let  $\Sigma \in |\mathbf{Sign}^{\flat}|$  and  $\varphi, \psi \in \mathrm{SEN}^{\flat}(\Sigma)$ . Then  $\varphi, \psi$  are called **coreferential** with respect to  $\mathbb{P}$ , or  $\mathbb{P}$ -coreferential, in symbols  $\varphi \sim_{\Sigma}^{\mathbb{P}} \psi$ , if, for all  $\Sigma' \in |\mathbf{Sign}^{\flat}|$ , all  $f \in \mathbf{Sign}^{\flat}(\Sigma, \Sigma')$  and all  $a \in \mathrm{PTS}(F(\Sigma'))$ ,

$$\alpha_{\Sigma'}(\operatorname{SEN}^{\flat}(f)(\varphi))(a) \in \operatorname{FCT}(F(\Sigma'))$$
  
iff  $\alpha_{\Sigma'}(\operatorname{SEN}^{\flat}(f)(\psi))(a) \in \operatorname{FCT}(F(\Sigma')).$ 

We say that  $\mathbf{A}^{\flat}$  is extensional with respect to  $\mathbb{P}$ , or  $\mathbb{P}$ -extensional, written  $\mathbb{P} \in \mathsf{Ext}(\mathbf{A}^{\flat})$ , if, for all  $\sigma^{\flat} : (\mathrm{SEN}^{\flat})^k \to \mathrm{SEN}^{\flat}$  in  $N^{\flat}$ , all  $\Sigma \in |\mathbf{Sign}^{\flat}|$ and all  $\varphi_0, \psi_0, \dots, \varphi_{k-1}, \psi_{k-1} \in \mathrm{SEN}^{\flat}(\Sigma)$ ,

$$\varphi_0 \sim_{\Sigma}^{\mathbb{P}} \psi_0, \dots, \varphi_{k-1} \sim_{\Sigma}^{\mathbb{P}} \psi_{k-1}$$
  
imply  $\sigma_{\Sigma}^{\flat}(\varphi_0, \dots, \varphi_{k-1}) \sim_{\Sigma}^{\mathbb{P}} \sigma_{\Sigma}^{\flat}(\psi_0, \dots, \psi_{k-1})$ 

Let again  $\Sigma \in |\mathbf{Sign}^{\flat}|$  and  $\varphi, \psi \in \mathrm{SEN}^{\flat}(\Sigma)$ . Then  $\varphi$  and  $\psi$  are called **synonymous with respect to**  $\mathbb{P}$ , or  $\mathbb{P}$ -synonymous, written  $\varphi \approx_{\Sigma}^{\mathbb{P}} \psi$ , if, for all  $\Sigma' \in |\mathbf{Sign}^{\flat}|$  and all  $f \in \mathbf{Sign}^{\flat}(\Sigma, \Sigma')$ ,

$$\alpha_{\Sigma'}(\operatorname{SEN}^{\flat}(f)(\varphi)) = \alpha_{\Sigma'}(\operatorname{SEN}^{\flat}(f)(\psi)).$$

Moreover,  $\mathbf{A}^{\flat}$  is said to be **strongly extensional with respect to**  $\mathbb{P}$ , or **strongly**  $\mathbb{P}$ -**extensional**, in symbols  $\mathbb{P} \in \mathbf{Ext}(\mathbf{A}^{\flat})$ , if  $\sim^{\mathbb{P}} \leq \approx^{\mathbb{P}}$ , where, here,  $\leq$  denotes signature-wise inclusion.

Since, obviously,  $\approx^{\mathbb{P}} \leq \sim^{\mathbb{P}}$ , we have that

$$\mathbb{P} \in \mathbf{Ext}(\mathbf{A}^{\flat})$$
 iff  $\sim^{\mathbb{P}} = \approx^{\mathbb{P}}$  implies  $\mathbb{P} \in \mathbf{Ext}(\mathbf{A}^{\flat})$ .

Thus,  $\mathbf{Ext}(\mathbf{A}^{\flat}) \subseteq \mathsf{Ext}(\mathbf{A}^{\flat})$ .

Given a base algebraic system  $\mathbf{A}^{\flat} = \langle \mathbf{Sign}^{\flat}, \mathrm{SEN}^{\flat}, N^{\flat} \rangle$  and a  $\pi$ -institution  $\mathcal{I} = \langle \mathbf{A}^{\flat}, C \rangle$  based on  $\mathbf{A}^{\flat}$ , recall that the **intederivability relation system** of  $\mathcal{I}$  is the equivalence system  $\Lambda(\mathcal{I}) = \{\Lambda_{\Sigma}(\mathcal{I})\}_{\Sigma \in |\mathbf{Sign}^{\flat}|}$ , defined, for all  $\Sigma \in |\mathbf{Sign}^{\flat}|$  by setting, for all  $\varphi, \psi \in \mathrm{SEN}^{\flat}(\Sigma)$ ,

$$\langle \varphi, \psi \rangle \in \Lambda_{\Sigma}(\mathcal{I})$$
 iff  $C_{\Sigma}(\varphi) = C_{\Sigma}(\psi)$ .

In general,  $\Lambda(\mathcal{I})$  is an equivalence system but not an  $N^{\flat}$ -congruence system. The  $\pi$ -institution  $\mathcal{I}$  is called **self-extensional** if  $\Lambda(\mathcal{I})$  is a congruence system, i.e., if, for all  $\sigma^{\flat} : (\text{SEN}^{\flat})^k \to \text{SEN}^{\flat}$  in  $N^{\flat}$ , all  $\Sigma \in |\mathbf{Sign}^{\flat}|$  and all  $\varphi_0, \psi_0, \ldots, \varphi_{k-1}, \psi_{k-1} \in \text{SEN}^{\flat}(\Sigma)$ ,

$$\varphi_i \Lambda_{\Sigma}(\mathcal{I}) \psi_i$$
, for all  $i < k$ , imply  $\sigma_{\Sigma}^{\flat}(\varphi_0, \dots, \varphi_{k-1}) \Lambda_{\Sigma}(\mathcal{I}) \sigma_{\Sigma}^{\flat}(\psi_0, \dots, \psi_{k-1})$ .

**Theorem 2** Let  $\mathbf{A}^{\flat} = \langle \mathbf{Sign}^{\flat}, \mathrm{SEN}^{\flat}, N^{\flat} \rangle$  be a base algebraic system and  $\mathbb{P} = \langle \mathcal{P}, \mathcal{D} \rangle$  a pragmatic gmatrix system for  $\mathbf{A}^{\flat}$ . If  $\mathbb{P} \in \mathsf{Ext}(\mathbf{A}^{\flat})$ , then  $\mathcal{I}^{\mathbb{P}} = \langle \mathbf{A}^{\flat}, C^{\mathbb{P}} \rangle$  is a self-extensional  $\pi$ -institution.

**Proof:** Suppose  $\mathbb{P} \in \text{Ext}(\mathbf{A}^{\flat})$ . Let  $\Sigma \in |\text{Sign}^{\flat}|$ ,  $\sigma^{\flat} : (\text{SEN}^{\flat})^k \to \text{SEN}^{\flat}$  in  $N^{\flat}$ and  $\varphi_0, \psi_0, \dots, \varphi_{k-1}, \psi_{k-1} \in \text{SEN}^{\flat}(\Sigma)$ , such that  $\varphi_i \Lambda_{\Sigma}(\mathcal{I}^{\mathbb{P}}) \psi_i$ , for all i < k. This means that  $C_{\Sigma}^{\mathbb{P}}(\varphi_i) = C_{\Sigma}^{\mathbb{P}}(\psi_i)$ , for all i < k. By the definition of  $C^{\mathbb{P}}$ ,

this implies that  $\varphi_i \sim_{\Sigma}^{\mathbb{P}} \psi_i$ , for all i < k. Therefore, by the  $\mathbb{P}$ -extensionality of  $\mathbf{A}^{\flat}$ ,

$$\sigma_{\Sigma}^{\flat}(\varphi_0,\ldots,\varphi_{k-1}) \sim_{\Sigma}^{\mathbb{P}} \sigma_{\Sigma}^{\flat}(\psi_0,\ldots,\psi_{k-1}).$$

Thus, reversing the steps, we now obtain

$$C_{\Sigma}^{\mathbb{P}}(\sigma_{\Sigma}^{\mathbb{P}}(\varphi_{0},\ldots,\varphi_{k-1}))=C_{\Sigma}^{\mathbb{P}}(\sigma_{\Sigma}^{\mathbb{P}}(\psi_{0},\ldots,\psi_{k-1})).$$

This gives that  $\sigma_{\Sigma}^{\flat}(\varphi_0, \dots, \varphi_{k-1})\Lambda_{\Sigma}(\mathcal{I}^{\mathbb{P}})\sigma_{\Sigma}^{\flat}(\psi_0, \dots, \psi_{k-1})$ , which shows that  $\mathcal{I}^{\mathbb{P}}$  is self-extensional.

Consider again a base algebraic system  $\mathbf{A}^{\flat} = \langle \mathbf{Sign}^{\flat}, \mathrm{SEN}^{\flat}, N^{\flat} \rangle$ . Let **Sign** be a category and  $\mathrm{PTS}^{R} : |\mathbf{Sign}| \to \mathbf{Set}$ . A gmatrix system  $\mathbb{R} = \langle \mathcal{R}, \mathcal{C} \rangle$  for  $\mathbf{A}^{\flat}$ , with  $\mathcal{R} = \langle \mathbf{R}, \langle F, \alpha^{R} \rangle \rangle$  and  $\mathbf{R} = \langle \mathbf{Sign}, \mathrm{SEN}^{R}, N^{R} \rangle$ , is called a **referential gmatrix system** (based on  $\mathrm{PTS}^{R}$ ) if:

- $\mathcal{R}$  is an interpreted  $N^{\flat}$ -algebraic system, such that, for all  $\Sigma \in |\mathbf{Sign}|$ , SEN<sup>R</sup>( $\Sigma$ )  $\subseteq \mathcal{P}(\mathrm{PTS}^{R}(\Sigma));$
- The collection of filter families C is given by

$$\mathcal{C} = \{ C^{\Sigma, p} : \Sigma \in |\mathbf{Sign}|, p \in \mathrm{PTS}^R(\Sigma) \},\$$

where, for all  $\Sigma \in |\mathbf{Sign}|$  and all  $p \in \mathrm{PTS}^{R}(\Sigma)$ ,  $C^{\Sigma,p} = \{C_{\Sigma'}^{\Sigma,p}\}_{\Sigma' \in |\mathbf{Sign}|}$  is defined by setting, for all  $\Sigma' \in |\mathbf{Sign}|$ ,

$$C_{\Sigma'}^{\Sigma,p} = \begin{cases} \{X \in \operatorname{SEN}^R(\Sigma) : p \in X\}, & \text{if } \Sigma' = \Sigma, \\ \emptyset, & \text{if } \Sigma' \neq \Sigma. \end{cases}$$

Note that, if  $\mathbb{R}$  is a referential gmatrix system for  $\mathbf{A}^{\flat}$ , then we have, for all  $\Sigma \in |\mathbf{Sign}^{\flat}|$  and all  $\Phi \cup \{\varphi\} \subseteq \mathrm{SEN}^{\flat}(\Sigma)$ ,

$$\varphi \in C_{\Sigma}^{\mathbb{R}}(\Phi) \quad \text{iff} \quad \text{for all } \Sigma' \in |\mathbf{Sign}^{\flat}|, \ f \in \mathbf{Sign}^{\flat}(\Sigma, \Sigma'), \\ \bigcap_{\phi \in \Phi} \alpha_{\Sigma'}^{R}(\mathrm{SEN}^{\flat}(f)(\phi)) \subseteq \alpha_{\Sigma'}^{R}(\mathrm{SEN}^{\flat}(f)(\varphi)).$$

It was shown in Theorem 8 of [10], based on a fundamental result of Wójcicki, that a  $\pi$ -institution  $\mathcal{I} = \langle \mathbf{A}^{\flat}, C \rangle$  based on  $\mathbf{A}^{\flat}$  is self-extensional if and only if it is of the form  $\mathcal{I}^{\mathbb{R}} = \langle \mathbf{A}^{\flat}, C^{\mathbb{R}} \rangle$  for some referential gmatrix system  $\mathbb{R}$ .

**Theorem 3** Let  $\mathbf{A}^{\flat} = \langle \mathbf{Sign}^{\flat}, \mathrm{SEN}^{\flat}, N^{\flat} \rangle$  be a base algebraic system. Suppose that  $\mathbb{R} = \langle \mathcal{R}, \mathcal{C} \rangle$ , with  $\mathcal{R} = \langle \mathbf{R}, \langle F, \alpha^R \rangle \rangle$  and  $\mathbf{R} = \langle \mathbf{Sign}, \mathrm{SEN}^R, N^R \rangle$ , is a referential gmatrix system for  $\mathbf{A}^{\flat}$ . Then there exists a pragmatic gmatrix system  $\mathbb{P} = \langle \mathcal{P}, \mathcal{D} \rangle \in \mathbf{Ext}(\mathbf{A}^{\flat})$ , such that  $C^{\mathbb{P}} = C^{\mathbb{R}}$ .

**Proof:** Let  $\mathbb{R} = \langle \mathcal{R}, \mathcal{C} \rangle$ , with  $\mathcal{R} = \langle \mathbf{R}, \langle F, \alpha^R \rangle \rangle$  and  $\mathbf{R} = \langle \mathbf{Sign}, \mathrm{SEN}^R, N^R \rangle$ , be a referential gmatrix system for  $\mathbf{A}^{\flat}$  based on  $\mathrm{PTS}^R : |\mathbf{Sign}| \to \mathbf{Set}$ , i.e., such that  $\mathrm{SEN}^R(\Sigma) \subseteq \mathcal{P}(\mathrm{PTS}^R(\Sigma))$ , for all  $\Sigma \in |\mathbf{Sign}|$ .

For every  $\Sigma \in |\mathbf{Sign}|$ , let  $p_{\Sigma} \in \mathrm{PTS}^{R}(\Sigma)$  and  $q_{\Sigma} \notin \mathrm{PTS}^{R}(\Sigma)$ . We define  $\mathrm{PTS}^{P} : |\mathbf{Sign}| \to \mathbf{Set}$  by setting

$$\operatorname{PTS}^{P}(\Sigma) = \operatorname{PTS}^{R}(\Sigma) \cup \{q_{\Sigma}\}, \text{ for all } \Sigma \in |\mathbf{Sign}|.$$

Define, also  $FCT : |Sign| \rightarrow Set$  by setting

$$FCT(\Sigma) = \{q_{\Sigma}\}, \text{ for all } \Sigma \in |Sign|.$$

Note that FCT  $\leq$  PTS<sup>P</sup>. Next, for all  $\Sigma \in |\mathbf{Sign}|, X \in \mathrm{SEN}^{R}(\Sigma)$ , define  $p^{\Sigma,X} : \mathrm{PTS}^{P}(\Sigma) \to \mathrm{PTS}^{P}(\Sigma)$  by setting, for all  $a \in \mathrm{PTS}^{P}(\Sigma)$ ,

$$p^{\Sigma,X}(a) = \begin{cases} q_{\Sigma}, & \text{if } (a = q_{\Sigma} \text{ or } a \in X), \\ p_{\Sigma}, & \text{otherwise.} \end{cases}$$

**Claim:** If  $X, Y \in \text{SEN}^R(\Sigma)$ , with  $X \neq Y$ , then  $p^{\Sigma, X} \neq p^{\Sigma, Y}$ .

**Proof:** If  $X \neq Y$ , then there exists  $x \in X \setminus Y$  or  $y \in Y \setminus X$ . Assume without loss of generality that the first occurs. Then, we have  $p^{\Sigma,X}(x) = q_{\Sigma}$ , whereas  $p^{\Sigma,Y}(x) = p_{\Sigma} \neq q_{\Sigma}$ . Thus,  $p^{\Sigma,X} \neq p^{\Sigma,Y}$ .

We now proceed to define the pragmatic gmatrix system  $\mathbb P$  based on  $\mathrm{PTS}^P$  over FCT.

First, define the algebraic system  $\mathbf{P} = \langle \mathbf{Sign}, \mathrm{SEN}^P, N^P \rangle$  as follows:

• Foe every  $\Sigma \in |\mathbf{Sign}|$ , define

$$\operatorname{SEN}^{P}(\Sigma) = \{ p^{\Sigma, X} : X \in \operatorname{SEN}^{R}(\Sigma) \}.$$

Moreover, for all  $\Sigma, \Sigma' \in |\mathbf{Sign}|$  and all  $f \in \mathbf{Sign}(\Sigma, \Sigma')$ , we define  $\mathrm{SEN}^{P}(f) : \mathrm{SEN}^{P}(\Sigma) \to \mathrm{SEN}^{P}(\Sigma')$  by setting, for all  $X \in \mathrm{SEN}^{R}(\Sigma)$ ,

$$\operatorname{SEN}^{P}(f)(p^{\Sigma,X}) = p^{\Sigma',\operatorname{SEN}^{R}(f)(X)}$$

This makes  $SEN^P : Sign \rightarrow Set$  a functor.

• Now consider  $\sigma^{\flat} : (\operatorname{SEN}^{\flat})^k \to \operatorname{SEN}^{\flat}$  in  $N^{\flat}$ . We define  $\sigma^P : (\operatorname{SEN}^P)^k \to \operatorname{SEN}^P$  by letting, for all  $\Sigma \in |\operatorname{Sign}|, \sigma_{\Sigma}^P : \operatorname{SEN}^P(\Sigma)^k \to \operatorname{SEN}^P(\Sigma)$  be given, for all  $X_0, \ldots, X_{k-1} \in \operatorname{SEN}^R(\Sigma)$ , by

$$\sigma_{\Sigma}^{P}(p^{\Sigma,X_{0}},\ldots,p^{\Sigma,X_{k-1}})=p^{\Sigma,\sigma_{\Sigma}^{R}(X_{0},\ldots,X_{k-1})}.$$

This is a well defined natural transformation. We set  $N^P$  to be the collection of all natural transformations of the form  $\sigma^P$  as  $\sigma^{\flat}$  ranges in  $N^{\flat}$ . Then  $N^P$  is a category of natural transformations on SEN<sup>P</sup>.

The triple  $\mathbf{P} = \langle \mathbf{Sign}, \mathbf{SEN}^P, N^P \rangle$  is the algebraic system reduct of  $\mathbb{P}$ . It is clearly a pragmatic  $N^{\flat}$ -algebraic system based on  $\mathrm{PTS}^{P}$ .

Next, define the pair  $\langle F, \alpha^P \rangle : \mathbf{A}^{\flat} \to \mathbf{P}$  as follows:

- $F: \mathbf{Sign}^{\flat} \to \mathbf{Sign}$  is the functor inherited by the referential gmatrix system  $\mathbb{R}$ .
- $\alpha^P : \operatorname{SEN}^{\flat} \to \operatorname{SEN}^P \circ F$  is defined by letting, for all  $\Sigma \in |\mathbf{Sign}^{\flat}|, \ \alpha_{\Sigma}^P :$  $\operatorname{SEN}^{\flat}(\Sigma) \to \operatorname{SEN}^{P}(F(\Sigma))$  be given, for all  $\varphi \in \operatorname{SEN}^{\flat}(\Sigma)$ , by

$$\alpha_{\Sigma}^{P}(\varphi) = p^{F(\Sigma), \alpha_{\Sigma}^{R}(\varphi)}$$

Then  $\alpha : \operatorname{SEN}^{\flat} \to \operatorname{SEN}^{P} \circ F$  is a well defined natural transformation.

With these definitions the pair  $\mathcal{P} = \langle \mathbf{P}, \langle F, \alpha^P \rangle \rangle$  becomes an interpreted pragmatic  $N^{\flat}$ -algebraic system based on  $\text{PTS}^{P}$ . Finally, for all  $a \in \text{PTS}^{P}(\Sigma)$ , set  $D^{\Sigma,a} = \{D_{\Sigma'}^{\Sigma,a}\}_{\Sigma' \in |\mathbf{Sign}|}$ , where, for all

 $\Sigma' \in |\mathbf{Sign}|,$ 

$$D_{\Sigma'}^{\Sigma,a} = \begin{cases} \{p^{\Sigma,X} \in \operatorname{SEN}^{P}(\Sigma) : p^{\Sigma,X}(a) = q_{\Sigma}\}, & \text{if } \Sigma' = \Sigma, \\ \emptyset, & \text{if } \Sigma' \neq \Sigma. \end{cases}$$

and let

$$\mathcal{D} = \{ D^{\Sigma, a} : \Sigma \in |\mathbf{Sign}|, a \in \mathrm{PTS}^{P}(\Sigma) \}.$$

This completes the definition of the pragmatic gmatrix system  $\mathbb{P}$ . We note that, because of the definition of  $\mathbb{P}$ , if one defines  $\alpha : SEN^R \to SEN^P$  by letting, for all  $\Sigma \in |\mathbf{Sign}|, \alpha_{\Sigma} : \mathrm{SEN}^{R}(\Sigma) \to \mathrm{SEN}^{P}(\Sigma)$  be given, for all  $X \in SEN^{R}(\Sigma)$ , by

$$\alpha_{\Sigma}(X) = p^{\Sigma, X}$$

then the following diagrams commute:



It only remains to show that  $\mathbb{P} \in \mathbf{Ext}(\mathbf{A}^{\flat})$  and that  $C^{\mathbb{P}} = C^{\mathbb{R}}$ . **Claim:**  $\mathbf{A}^{\flat} = \langle \mathbf{Sign}^{\flat}, \mathrm{SEN}^{\flat}, N^{\flat} \rangle$  is strongly extensional with respect to  $\mathbb{P} =$  $\langle \mathcal{P}, \mathcal{D} \rangle$ .

**Proof:** It suffices to show that  $\sim \leq \approx$ . To this end, let  $\Sigma \in |\mathbf{Sign}^{\flat}|$  and  $\varphi, \psi \in \mathrm{SEN}^{\flat}(\Sigma)$ , such that  $\varphi \sim_{\Sigma}^{\mathbb{P}} \psi$ . Then, for all  $\Sigma' \in |\mathbf{Sign}^{\flat}|$ ,  $f \in \mathbf{Sign}^{\flat}(\Sigma, \Sigma')$ , and  $a \in \mathrm{PTS}^{P}(F(\Sigma'))$ ,

$$\alpha_{\Sigma'}(\operatorname{SEN}^{\flat}(f)(\varphi))(a) \in \operatorname{FCT}(F(\Sigma'))$$
  
iff  $\alpha_{\Sigma'}(\operatorname{SEN}^{\flat}(f)(\psi))(a) \in \operatorname{FCT}(F(\Sigma')),$ 

i.e., for all  $\Sigma' \in |\mathbf{Sign}^{\flat}|, f \in \mathbf{Sign}^{\flat}(\Sigma, \Sigma'), \text{ and } a \in \mathrm{PTS}^{P}(F(\Sigma')),$ 

$$\alpha_{\Sigma'}(\operatorname{SEN}^{\flat}(f)(\varphi))(a) = q_{F(\Sigma')} \quad \text{iff} \quad \alpha_{\Sigma'}(\operatorname{SEN}^{\flat}(f)(\psi))(a) = q_{F(\Sigma')}.$$

Equivalently, for all  $\Sigma' \in |\mathbf{Sign}^{\flat}|$  and all  $f \in \mathbf{Sign}^{\flat}(\Sigma, \Sigma')$ ,

$$\alpha_{\Sigma'}(\operatorname{SEN}^{\flat}(f)(\varphi)) = \alpha_{\Sigma'}(\operatorname{SEN}^{\flat}(f)(\psi)),$$

i.e.,  $\varphi \approx_{\Sigma}^{\mathbb{P}} \psi$ .

And the final claim:  $\widehat{\mathbb{A}}^{\mathbb{D}}$ 

**Claim**:  $C^{\mathbb{P}} = C^{\mathbb{R}}$ .

**Proof:** We have, for all  $\Sigma \in |\mathbf{Sign}^{\flat}|$  and all  $\Phi \cup \{\varphi\} \subseteq \mathrm{SEN}^{\flat}(\Sigma)$ , that  $\varphi \in C_{\Sigma}^{\mathbb{P}}(\Phi)$  if and only if, for all  $\Sigma' \in |\mathbf{Sign}^{\flat}|$ , all  $f \in \mathbf{Sign}^{\flat}(\Sigma, \Sigma')$  and all  $a \in \mathrm{PTS}^{P}(F(\Sigma'))$ ,

$$\begin{aligned} &\alpha_{\Sigma'}^{P}(\operatorname{SEN}^{\flat}(f)(\Phi)) \subseteq \{ p^{F(\Sigma'),X} \in \operatorname{SEN}^{P}(F(\Sigma')) : p^{F(\Sigma'),X}(a) = q_{F(\Sigma')} \} \\ & \text{implies} \\ &\alpha_{\Sigma'}^{P}(\operatorname{SEN}^{\flat}(f)(\varphi)) \in \{ p^{F(\Sigma'),X} \in \operatorname{SEN}^{P}(F(\Sigma')) : p^{F(\Sigma'),X}(a) = q_{F(\Sigma')} \}. \end{aligned}$$

iff, for all  $\Sigma' \in |\mathbf{Sign}^{\flat}|$ , all  $f \in \mathbf{Sign}^{\flat}(\Sigma, \Sigma')$  and all  $a \in \mathrm{PTS}^{P}(F(\Sigma'))$ ,

$$p^{F(\Sigma'),\alpha_{\Sigma'}^{R}(\operatorname{SEN}^{\flat}(f)(\phi))}(a) = q_{F(\Sigma')}, \text{ all } \phi \in \Phi,$$
  
implies  $p^{F(\Sigma'),\alpha_{\Sigma'}^{R}(\operatorname{SEN}^{\flat}(f)(\varphi))}(a) = q_{F(\Sigma')},$ 

iff, for all  $\Sigma' \in |\mathbf{Sign}^{\flat}|$ , all  $f \in \mathbf{Sign}^{\flat}(\Sigma, \Sigma')$  and all  $p \in \mathrm{PTS}^{R}(F(\Sigma'))$ ,

$$p \in \bigcap_{\phi \in \Phi} \alpha_{\Sigma'}^R(\operatorname{SEN}^{\flat}(f)(\phi)) \quad \text{implies} \quad p \in \alpha_{\Sigma'}^R(\operatorname{SEN}^{\flat}(f)(\varphi)),$$

if and only if  $\varphi \in C_{\Sigma}^{\mathbb{R}}(\Phi)$ .

This completes the proof of the theorem.

We close this work by providing a corollary that summarizes what was accomplished:

**Corollary 4** Let  $\mathbf{A}^{\flat} = \langle \mathbf{Sign}^{\flat}, \mathrm{SEN}^{\flat}, N^{\flat} \rangle$  be a base algebraic system and  $\mathcal{I} = \langle \mathbf{A}^{\flat}, C \rangle$  a  $\pi$ -institution based on  $\mathbf{A}^{\flat}$ . Then, the following statements are equivalent:

- (i)  $C = C^{\mathbb{R}}$  for some referential gmatrix system  $\mathbb{R}$ ;
- (ii) C = C<sup>ℙ</sup> for some pragmatic gmatrix system ℙ with respect to which A<sup>♭</sup> is strongly extensional;
- (iii) C = C<sup>ℙ</sup> for some pragmatic gmatrix system ℙ with respect to which A<sup>♭</sup> is extensional;
- (iv)  $\mathcal{I}$  is self-extensional.

#### **Proof:**

(i) $\Leftrightarrow$ (iv) This is essentially Theorem 8 of [10].

- (i) $\Rightarrow$ (ii) By Theorem 3.
- (ii) $\Rightarrow$ (iii) Follows from  $\mathbf{Ext}(\mathbf{A}^{\flat}) \subseteq \mathsf{Ext}(\mathbf{A}^{\flat})$ .

(iii) $\Rightarrow$ (iv) By Theorem 2.

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